

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
AUT/008 Cont.APPLN. NO.
10/796,332SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANTS
Michael Lax et al.CONF. NO.
2003FILING DATE
March 8, 2004GROUP ART UNIT
3728

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LG	517,729	04/03/1894	Cable	—	—	
	1,626,898	05/03/1927	Welk			
	1,707,225	04/02/1929	Jackson			
	2,393,034	01/15/1946	Ellis et al.			
	2,730,392	01/10/1956	Thiebaud et al.			
	2,809,062	10/08/1957	Mainhardt et al.			
	2,825,483	03/04/1958	Maron			
	2,916,901	12/15/1959	Claud-Mantle			
	3,095,723	07/02/1963	McKnight et al.			
	3,230,749	01/25/1966	Manthorne			
	3,232,421	02/01/1966	Young			
	3,495,716	02/17/1970	Gregory			
	3,497,908	03/03/1970	Zamarra			
	3,515,423	06/02/1970	De Smidt			
	3,685,684	08/22/1972	Schindler et al.			
	3,763,994	10/09/1973	Somers			
	3,828,922	08/13/1974	Holkestad			
	3,837,525	09/24/1974	Kobayashi			
	3,837,692	09/24/1974	Ayers et al.			
	3,855,827	12/24/1974	Hallman et al.			
	3,885,670	05/27/1975	Cousino			
	3,904,259	09/09/1975	Hoffmann et al.			
	3,933,240	01/20/1976	Humble			
	3,933,381	01/20/1976	Schurman			
LG	3,949,928	04/13/1976	Perkins	—	—	

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LG	3,951,264	04/20/1976	Heidecker et al.			
	3,969,007	07/13/1976	Lowry			
	3,994,416	11/30/1976	Mulligan			
	3,994,551	11/30/1976	Ackeret			
	4,046,255	09/06/1977	Ackeret			
	4,084,690	04/18/1978	Pulse			
	4,084,694	04/18/1978	Lainez et al.			
	4,109,821	08/29/1978	Lutz			
	4,153,178	05/08/1979	Weavers et al.			
	4,176,744	12/04/1979	Borzak			
	Re. 30,184	01/08/1980	Ackerat			
	4,184,594	01/22/1980	Hehn			
	4,191,292	03/04/1980	Schweizer			
	4,204,724	05/27/1980	Bauer et al.			
	4,211,337	07/08/1980	Weavers et al.			
	4,235,334	11/25/1980	Ahn			
	4,239,108	12/16/1980	Coleman et al.			
	4,266,784	05/12/1981	Torrington			
	4,279,373	07/21/1981	Montealegre			
	4,285,429	08/25/1981	MacTavish			
	4,291,801	09/29/1981	Basili et al.			
	4,293,266	10/06/1981	St. Lawrence et al.			
	4,303,159	12/01/1981	Stone et al.			
	4,314,637	02/09/1982	Posso			
LG	4,314,643	02/09/1982	Forbes			

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LG	4,341,307	07/27/1982	Shyers			
	4,363,403	12/14/1982	Raucci et al.			
	4,365,711	12/28/1982	Long et al.			
	4,365,712	12/28/1982	Oishi et al.			
	4,379,507	04/12/1983	Llabres			
	4,387,807	06/14/1983	de la Rosa			
	4,399,913	08/23/1983	Gelardi et al.			
	4,406,369	09/27/1983	Wallace et al.			
	4,407,410	10/04/1983	Graetz et al.			
	4,425,999	01/17/1984	MacDonald et al.			
	4,445,634	05/01/1984	Sato			
	4,453,743	06/12/1984	Sanders et al.			
	4,463,849	08/07/1984	Prusak et al.			
	4,463,850	08/07/1984	Gorog			
	4,469,225	09/04/1984	Takahashi			
	4,476,978	10/16/1984	Saito			
	4,488,644	12/18/1984	Wynalda			
	4,501,359	02/26/1985	Yoshizawa			
	4,508,217	04/02/1985	Long et al.			
	4,522,312	06/11/1985	Rathgeber et al.			
	4,538,730	09/03/1985	Wu			
	4,558,782	12/17/1985	Iverson et al.			
	4,561,544	12/31/1985	Reeve			
	4,572,369	02/25/1986	Morris			
LG	4,589,549	05/20/1986	Hehn			

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LG	4,609,105	09/02/1986	Manes et al.			
	4,613,044	09/23/1986	Saito et al.			
	4,617,655	10/14/1986	Aldenhoven			
	4,627,534	12/09/1986	Komiyama et al.			
	4,635,797	01/13/1987	Bankier			
	4,643,301	02/17/1987	Hehn et al.			
	4,658,955	04/21/1987	Eichner			
	4,674,303	06/23/1987	Salcone			
	4,676,370	06/30/1987	Rudick			
	4,678,080	07/07/1987	Nelson			
	4,685,558	08/11/1987	Filiz et al.			
	4,702,369	10/27/1987	Philosophe			
	4,703,853	11/03/1987	Byrns			
	4,705,166	11/10/1987	Ackeret			
	4,709,813	12/01/1987	Wildt			
	4,717,021	01/05/1988	Ditzig			
	4,718,547	01/12/1988	MacTavish			
	4,722,439	02/02/1988	Grobecker et al.			
	4,724,957	02/16/1988	Burgschweiger			
	4,733,916	03/29/1988	Seufert			
	4,747,484	05/31/1988	Ackeret			
	4,750,618	06/14/1988	Schubert			
	4,753,347	06/28/1988	Bellante et al.			
	4,759,442	07/26/1988	Gregerson et al.			
LG	4,784,264	11/15/1988	Sykes			

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LG	4,793,479	12/27/1988	Otsuka et al.			
	4,802,601	02/07/1989	Pijanowski et al.			
	4,805,769	02/21/1989	Solitis et al. Solitis et al.			
	4,805,770	02/21/1989	Groebecker et al.			
	4,807,749	02/28/1989	Ackeret			
	4,811,000	03/07/1989	Humphrey et al.			
	4,819,797	04/11/1989	Holmgren			
	4,834,238	05/30/1989	Hehn et al.			
	4,838,420	06/13/1989	Collett et al.			
	4,860,897	08/29/1989	Fowler et al.			
	4,871,064	10/03/1989	Hehn et al.			
	4,921,097	05/01/1990	Finke et al.			
	4,928,825	05/29/1990	Hehn			
	4,941,588	07/17/1990	Flider			
	4,947,989	08/14/1990	Horton			
	4,962,854	10/16/1990	Ricci			
	4,966,020	10/30/1990	Fotheringham et al.			
	4,972,690	11/27/1990	O'Sullivan			
	4,974,740	12/04/1990	Niles et al.			
	4,987,639	01/29/1991	Bauley et al.			
	4,987,999	01/29/1991	Hehn			
	4,988,000	01/29/1991	Weisburn et al.			
	5,007,256	04/16/1991	Lowe			
	5,011,010	04/30/1991	Francis et al.			
LG	5,016,752	05/21/1991	Haugen			

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LG	5,039,982	08/13/1991	Bruhweiler			
	5,076,460	12/31/1991	Hussell			
	5,081,446	01/14/1992	Gill et al.			
	5,085,322	02/04/1992	Lax			
	Des. 324,337	03/03/1992	Brady			
	5,103,978	04/14/1992	Secor			
	5,145,068	09/08/1992	Schmitz et al.			
	5,147,034	09/15/1992	Broadhead et al.			
	5,161,907	10/10/1992	Byrne			
	5,191,983	03/09/1993	Hardy			
	5,193,371	03/16/1993	Yamane Yamane			
	5,195,595	03/23/1993	Nakagawa			
	5,205,401	04/27/1993	Weisburn et al.			
	5,205,405	04/27/1993	O'Brien et al.			
	5,209,086	05/11/1993	Bruhweiler			
	5,211,283	05/18/1993	Weisburn et al.			
	5,213,209	05/25/1993	Song			
	5,215,188	06/01/1993	Wittman			
	5,219,417	06/15/1993	O'Brien et al.			
	5,236,081	08/17/1993	Fitzsimmons et al.			
	5,244,085	09/14/1993	Lammerant et al.			
	5,249,677	10/05/1993	Lim			
	5,251,750	10/12/1993	Gelardi et al.			
	5,253,751	10/19/1993	Wipper			
LG	5,259,498	11/09/1993	Weisburn et al.			

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LG	5,285,918	02/15/1994	Weisburn et al.			
	Des. 347,320	05/31/1994	Du Corday			
	5,359,809	11/01/1994	Johnson			
	5,360,107	11/01/1994	Chasin et al.			
	5,366,074	11/22/1994	O'Brien et al.			
	5,368,162	11/29/1994	Holmgren			
	5,375,712	12/27/1994	Weisburn			
	5,377,825	01/03/1995	Sykes et al.			
	5,377,827	01/03/1995	Roth et al.			
	5,384,103	01/24/1995	Miller			
	5,390,515	02/21/1995	Essick			
	5,400,902	03/00/1995	Kaminski			
	5,417,324	05/23/1995	Joyce et al.			
	Des. 358,961	06/06/1995	Lax			
	5,460,266	10/24/1995	Mundorf et al.			
	5,462,159	10/31/1995	Roth et al.			
	5,494,156	02/27/1996	Nies			
	5,499,714	03/19/1996	Konno			
	5,505,299	04/09/1996	Ditzig et al.			
	5,515,968	05/14/1996	Taniyama			
	5,524,752	06/11/1996	Mazzucchelli			
	5,526,926	06/18/1996	Deja			
	5,528,914	06/25/1996	Nguyen et al.			
	5,529,182	06/25/1996	Anderson et al.			
LG	5,533,619	07/09/1996	Ziegler			

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LG	5,551,559	09/03/1996	Roth et al.			
	5,551,560	09/03/1996	Weisburn et al.			
	5,586,651	12/24/1996	Krummenacher			
	5,588,315	12/31/1996	Holmgren			
	5,593,030	01/14/1997	Tell			
	5,593,031	01/14/1997	Uchida			
	5,597,068	01/28/1997	Weisburn et al.			
	5,598,728	02/04/1997	Lax			
	5,601,188	02/11/1997	Dressen et al.			
	5,609,249	03/11/1997	Cheng			
	5,626,225	05/06/1997	Joyce			
	5,636,535	06/10/1997	Shimada			
	5,636,737	06/10/1997	Marsilio			
	5,653,335	08/05/1997	Bauer et al.			
	5,660,274	08/26/1997	Chien			
	5,662,218	09/02/1997	Ladwig			
	5,680,782	10/28/1997	Komatsu et al.			
	5,685,425	11/11/1997	Choi			
	5,685,427	11/11/1997	Kuitens et al.			
	5,690,224	11/25/1997	Koizumi			
	5,697,496	12/16/1997	Bauer			
	5,717,533	02/10/1998	Poplawski et al.			
	5,727,680	03/17/1998	Liu			
	5,730,283	03/24/1998	Lax			
LG	5,760,689	06/02/1998	Holmgren			

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LG	5,762,187	06/09/1998	Belden et al.			
	5,768,922	06/23/1998	Lax			
	5,769,217	06/23/1998	Derraugh et al.			
	5,769,218	06/23/1998	Yabe			
	5,772,028	06/30/1998	Marsilio et al.			
	5,775,491	07/07/1998	Taniyama			
	5,775,500	07/07/1998	Williams			
	5,777,884	07/07/1998	Belka et al.			
	5,782,348	07/21/1998	Burdett			
	5,782,350	07/21/1998	Weisburn et al.			
	5,788,068	08/04/1998	Fraser et al.			
	5,799,784	09/01/1998	Bosworth			
	5,823,341	10/20/1998	Nakasuji			
	5,829,582	11/03/1998	Ippolito et al.			
	5,829,584	11/03/1998	Raucci			
	5,839,576	11/24/1998	Kim			
	5,850,752	12/22/1998	Lax			
	5,887,713	03/30/1999	Smith et al.			
	5,890,590	04/06/1999	Doodson			
	5,896,985	04/27/1999	Nakasuji			
	5,896,986	04/27/1999	Bologna et al.			
	5,899,327	05/04/1999	Sykes			
	5,901,840	05/11/1999	Nakasuji			
	5,904,246	05/18/1999	Weisburn et al.			
LG	5,906,275	05/25/1999	Jokic			

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LG	5,910,770	06/08/1999	Ohara			
	Des. 411,071	06/15/1999	Cerda-Vicedo			
	5,918,909	07/06/1999	Fiala et al.			
	5,931,291	08/03/1999	Sedon et al.			
	5,931,294	08/03/1999	Weingarden et al.			
	5,934,114	08/10/1999	Weisburn et al.			
	5,941,382	08/24/1999	Fantone et al.			
	5,944,181	08/31/1999	Lau			
	5,944,185	08/31/1999	Burdett et al.			
	5,950,822	09/14/1999	Cloran et al.			
	5,960,949	10/05/1999	Wynalda			
	5,975,298	11/02/1999	Sankey et al.			
	5,984,388	11/16/1999	Bacon			
	5,988,375	11/23/1999	Chang			
	5,988,376	11/23/1999	Lax			
	5,996,788	12/07/1999	Belden et al.			
	5,996,815	12/07/1999	Walters et al.			
	6,000,541	12/14/1999	Yu			
	6,016,909	01/25/2000	Chang			
	6,021,894	02/08/2000	Lakoski et al.			
	Des. 420,240	02/08/2000	Sorenson et al.			
	6,047,821	04/11/2000	Hashimoto et al.			
	Des. 422,445	04/11/2000	Markowitz			
	6,056,117	05/02/2000	Courchesne			
LG	6,059,102	05/09/2000	Gelardi et al.			

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LG	6,065,593	05/23/2000	Howerton et al.			
	6,065,594	05/23/2000	Sankey et al.			
	Des. 426,978	06/27/2000	Belden et al.			
	6,085,900	07/11/2000	Wong			
	6,092,650	07/25/2000	Budnik			
	6,102,200	08/15/2000	Dressen et al.			
	Des. 430,424	09/05/2000	Belden et al.			
	6,119,857	09/19/2000	Stumpff			
	6,123,192	09/26/2000	Rufo			
	6,125,668	10/03/2000	Belden			
	6,135,280	10/24/2000	Burdett et al.			
	6,164,445	12/26/2000	Cooper			
	6,170,656	01/09/2001	Cerda-Vilaplana et al.			
	Des. 437,520	02/13/2001	Choi			
	6,196,384	03/06/2001	Belden			
	6,222,453	04/24/2001	Joyce			
	2001/0000599	05/03/2001	Belden			
	6,283,280	09/04/2001	Wong et al.			
	2001/0035359	11/01/2001	Sedon et al.			
	2002/0003095	01/10/2002	Jaeb et al.			
	6,354,435	03/12/2002	Belden et al.			
	6,374,648	04/23/2002	Mitsuyama			
	6,398,022	06/04/2002	Mou et al.			
LG	6,401,920	06/11/2002	Gelardi			

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	FILING DATE March 8, 2004	GROUP ART UNIT 3728

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LG	6,412,631	07/02/2002	Belden			
	6,422,387	07/23/2002	Sedon et al.			
	6,443,300	09/03/2002	Gelardi et al.			
	6,474,478	11/05/2002	Heuhner et al.			
	6,516,639	02/11/2003	Margetts et al.			
	6,516,945	02/11/2003	Myszka et al.			
	6,523,683	02/25/2003	Fraser et al.			
LG	6,561,347	05/13/2003	Lax			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
LG	2,036,697	07/02/1980	Great Britain				
LG	2,079,726	01/27/1982	Great Britain				
LG	2,129,779	05/23/1984	Great Britain				
LG	2,543,421	10/05/1984	France				X
LG	3,316,802	11/08/1984	Germany				X
LG	0211088	02/25/1987	EPO				X
LG	62-241187	10/21/1987	Japan				X
LG	0308810	03/29/1989	EPO				
LG	0312172	04/19/1989	EPO				
LG	2,628,250	09/08/1989	France				X
LG	2,628,717	09/22/1989	France				X

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
LG	W00/15001	12/13/1990	PCT International				X
LG	3,923,107	01/24/1991	Germany				X
LG	W93/15294	08/05/1993	PCT International				
LG	0616103	09/21/1994	EPO				X
LG	1093055	10/05/1994	China				
LG	2,711,311	04/28/1995	France				X
LG	07-291336	11/07/1995	Japan				
LG	0729897	09/04/1996	EPO				X
LG	1143593	02/26/1997	China				X
LG	9-132287	05/20/1997	Japan				X
LG	11-147584	11/13/1997 5/1/1998	Japan				
LG	10-116394	05/06/1998	Japan				X
LG	10-194371	07/28/1998	Japan				X
LG	11-035084	02/09/1999	Japan				X
LG	1215885	05/05/1999	China				X
LG	11-301766	11/02/1999	Japan				X
LG	W000/17877	03/30/2000	PCT International				
LG	W000/17877 A3	03/30/2000	PCT International				
LG	W000/34954	06/15/2000	PCT				

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
			International				
LG	2000/34954 A3	06/15/2000	PCT International				
LG	2000-191076	07/11/2000	Japan				X
LG	2000-191078	07/11/2000	Japan				X
LG	2000-219288	08/08/2000	Japan				X
LG	2000-237008	09/05/2000	Japan				X
LG	2,351,277	12/27/2000	Great Britain				
LG	2001-002101	01/09/2001	Japan				X
LG	2001-019077	01/23/2001	Japan				X
LG	2001-082013	03/27/2001	Japan				X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
LG	Derwent Information Ltd. Abstract of German Patent No. 29620435, 02/06/1997
LG	Derwent Information Ltd. Abstract of U.S. Patent No. 5,996,788, 12/07/1999
LG	Derwent Information Ltd. Abstract of U.S. Patent No. 6,065,594, 05/23/2000
LG	Derwent Information Ltd. Abstract of U.S. Patent No. 6,135,280, 10/24/2000
LG	Derwent Information Ltd. Abstract of U.S. Patent Application No. 2001/0000599, 05/03/2001
LG	Derwent Information Ltd. Abstract of U.S. Patent No. 6,222,453, 04/24/2001

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
LG	Derwent Information Ltd. Abstract of PCT International Publication No. WO 200207152, 01/24/2002
LG	Derwent Information Ltd. Abstract of PCT International Publication No. WO 200207165, 01/24/2002
LG	Derwent Information Ltd. Abstract of U.S. Patent No. 6,354,435, 03/12/2002
LG	Derwent Information Ltd. Abstract of PCT International Publication No. WO 200266342, 08/29/2002
LG	Derwent Information Ltd. Abstract of U.S. Patent Application No. 2002/0096442, 07/25/2002
LG	Derwent Information Ltd. Abstract of U.S. Patent No. 6,540,074, 04/01/2003

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		APPLICANTS Michael Lax et al.	CONF. NO. 2003
		FILING DATE March 8, 2004	GROUP ART UNIT 3676

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0616103	09/1994	EPO			X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

ATTY. DOCKET NO.
AUT/008 Cont.

APPLN. NO.
10/796,332

APPLICANTS
Michael Lax et al.

CONF. NO.
2003

FILING DATE
March 8, 2004

GROUP ART UNIT
3728


U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 99/39068	08/05/1999	PCT International				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	
	"Engineers Use IDEAS to Help Prevent Thefts," Design News, 11/06/1995
	"Security Products for Entertainment Media: Program 2000," Clear Vu Products, Westbury, New York, 03/2000
	"Security Products for Entertainment Media: Program 2001," Clear Vu Products, Westbury, New York, 03/2001

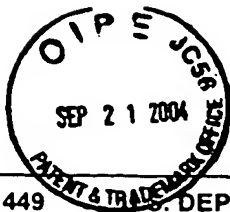
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Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
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**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

ATTY. DOCKET NO.
AUT/008 Cont.

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10/796,332

APPLICANTS
Michael Lax et al.

CONF. NO.
2003

FILING DATE
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GROUP ART UNIT
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
LG	2,608,564	06/24/1988	France	—	—		X
LG	0 545 494	06/09/1993	EPO	—	—		
LG	2,715,817	08/11/1995	France	—	—		X
LG	297 22 209	02/04/1998	Germany	—	—		X
LG	1 003 965	03/06/1998	Netherlands	—	—		X
LG	WO 99/39068	08/05/1999	PCT International	—	—		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
LG	"Engineers Use I-DEAS to Help Prevent Thefts," Design News, 11/06/1995
LG	"Security Products for Entertainment Media: Program 2000," Clear-Vu Products, Westbury, New York, 03/2000
LG	"Security Products for Entertainment Media: Program 2001," Clear-Vu Products, Westbury, New York, 03/2001

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Sheet 1 of 1

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
LG	0-142 748	05/29/1985	EPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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	APPLICANTS Michael Lax et al.	CONF. ID. 2003
	FILING DATE March 8, 2004	GROUP/UNIT 3676

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
LG	0566403	12/08/98 10/10/03	EPO				

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